

AMENDMENT TRANSMITTAL LETTER (Small Entity)				Docket No. L741.01110	
Applicant(s): Yves AUDEBERT, et al.					
Application No. 09/996,576	Filing Date November 30, 2001	Examiner C. Graham	Customer No. 45532	Group Art Unit 3692	Confirmation No. 3802
Invention: FINANCIAL RISK MANAGEMENT SYSTEM AND METHOD					

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Transmitted herewith is an amendment in the above-identified application.

☒ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE		ADDITIONAL FEE
TOTAL CLAIMS	43 -	43 =	0	x \$25.00		\$0.00
INDEP. CLAIMS	2 -	3 =	0	x \$100.00		\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>						\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$0.00

☒ No additional fee is required for amendment.

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☒ Any additional filing fees required under 37 C.F.R. 1.16.

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☐ Payment by credit card. Form PTO-2038 is attached.

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Dated: **February 6, 2007**

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____

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